

**IN THE UNITED STATES DISTRICT COURT
FOR THE DISTRICT OF MASSACHUSETTS**

OSCAR T. BROOKINS, individually and as the representative of a class of similarly situated persons, and on behalf of The Northeastern University Retirement Plan,

Plaintiff,

v.

NORTHEASTERN UNIVERSITY; NORTHEASTERN UNIVERSITY 403(b) INVESTMENT COMMITTEE; and JOHN and JANE DOES 1-10,

Defendants.

Civil Action No.: 1:22-CV-11053-NMG

**JOINT STATUS REPORT REGARDING MEDIATION
AND NOTICE OF SETTLEMENT**

Pursuant to the Court's Scheduling Order (Doc No. 92), the Parties file this Status Report regarding the progress of mediation. The Parties report that they completed a full-day mediation on August 20 and have reached a settlement in principle.

The Parties are in the process of negotiating the settlement agreement and anticipate that they will reach a final agreement shortly. Plaintiff will file a motion for preliminary approval once a final agreement is reached. The Parties anticipate that the process will be completed and that Plaintiff will be in position to file on or before October 15, 2025.

September 15, 2025

Respectfully submitted,

/s/ Osvaldo Vazquez

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Counsel for Defendants

CERTIFICATE OF SERVICE

I hereby certify that on September 15, 2025, a copy of this document was served by ECF filing on all counsel of record.

/s/ Osvaldo Vazquez

Osvaldo Vazquez, Esq.